

FILED ALAMEDA COUNTY 1 Xinying Valerian (SBN 254890) xinying@valerian.law MAR 16 2020 2 Dominic Valerian (SBN 240001) dominic@valerian.law CLERK OF THE SUPERIOR COURT 3 VALERIAN LAW, P.C. 1530 Solano Avenue 4 Albany, California 94707 5 Tel: (888) 686-1918 Fax: (510) 982-4513 6 Monique Olivier (SBN 190385) 7 monique@osclegal.com Katharine Chao (SBN 247571) 8 kathy@osclegal.com **OLIVIER SCHREIBER & CHAO LLP** 9 201 Filbert Street, Suite 201 10 San Francisco, California 94133 Tel: (415) 484-0980 11 Fax: (415) 658-7758 12 Attorneys for Plaintiff Tamara Casteel 13 14 SUPERIOR COURT OF THE STATE OF CALIFORNIA 15 **COUNTY OF ALAMEDA** 16 **UNLIMITED JURISDICTION** 17 TAMARA CASTEEL, individually and on Case No.: RG20052826 behalf of aggrieved employees and the State 18 Assigned for All Purposes to: of California, The Honorable Julia Spain, Department 520 19 20 Plaintiff, [PROPOSED] ORDER AND JUDGMENT 21 APPROVING PAGA SETTLEMENT 22 23 ALASKA AIRLINES, INC., an Alaska Date: March 11, 2020 24 corporation, Time: 2:00 p.m. Dept: 520 25 Defendant. RESERVATION NO.: R-2159956 26 27

[PROPOSED] ORDER AND JUDGMENT APPROVING PAGA SETTLEMENT

Case No.: RG20052826

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Plaintiff filed a Motion for Approval of PAGA Settlement, which came on for hearing on March 11, 2020 at 2:00 p.m., in Department 520 of the above-entitled Court, the Honorable Julia Spain presiding. The Court has reviewed the materials and information submitted by the Parties and finds and hereby ORDERS as follows:

- 1. For purposes of the Settlement and this Order, "Action" means the representative action entitled *Casteel v. Alaska Airlines, Inc.*, Case No. RG20052826 filed by Plaintiff Tamara Casteel against Defendant Alaska Airlines, Inc. on January 31, 2020 in the Superior Court of the State of California, County of Alameda.
- 2. Consistent with the definitions provided in the Settlement, the term "Aggrieved Employees" means all persons who worked for Defendant from September 21, 2017 to July 18, 2019 and who were based in the State of California.
- 3. For purposes of the Settlement in this Order, "Settlement" refers to the settlement entered into between Plaintiff and Defendant on or about February 7, 2020.
- 4. All other capitalized terms not defined herein shall have the same meaning as in the Parties' Settlement Agreement, which is attached as Exhibit 1 to the Declaration of Xinying Valerian filed on February 18, 2020.
  - 5. Upon the Effective Date:
    - a. Plaintiff, all Aggrieved Employees, and the State of California and all applicable departments, agencies, and commissions shall release Defendant from any and all civil penalties which could be assessed upon and collected from Defendant under PAGA for known and unknown violations of California Labor Code sections 98.6(a), 98.6(b), 232.5(a), 232.5(b), 232.5(c), based upon the facts alleged in the LWDA Notice and arising during the Covered Time Periods.
    - b. Plaintiff will individually release Defendant from all claims of unfair competition and unfair business practices under California Business & Professions Code § 17200 et seq. based on the facts alleged in the LWDA Notice.

- 6. Collectively, as described herein, the claims to be released are the "Released Claims." This Settlement does not seek to release any remedies available to PAGA Group Members with respect to violations of the Labor Code other than those remedies which could be recovered under PAGA in this lawsuit. Notwithstanding the foregoing, the Released Claims do not include any claims that are at issue in the cases of *Bernstein v. Virgin America, Inc.*, et al., U.S. District Court, N.D. Cal., Case No. 15-02277 JST, and *Gunther v. Alaska Airlines, Inc.*, San Diego County Superior Court, Case No. 37-2017-00037849-CU-OE-NC.
- 7. All Aggrieved Employees and all Released Claims are covered by and included within the Settlement and this Final Order.
  - 8. The material terms of the Settlement include:
    - a. Defendant has agreed to changes in business practices as identified in the Settlement Agreement;
    - b. The Total Settlement Amount is \$3,000,000.00;
    - c. No portion of the Settlement Amount will revert to Defendant;
    - d. \$22,000 of the Settlement Amount will be set aside for expected costs associated with settlement administration, to be paid to Strategic Claims Solutions, Inc., the Settlement Administrator;
    - e. Plaintiff's Counsel will be awarded one-third of the Settlement Amount, or \$1,000,000, in attorneys' fees, as well as their reasonable costs incurred which total \$8,426.08;
    - f. The remaining amount is the Net Settlement Amount. This amount, \$1,969,573.92, will be allocated as follows:
      - \$500 shall be remitted to each of the following PAGA Group Members in satisfaction of their claims that Defendant violated Labor Code § 232.5(c): Jessica Moore, Jennifer Aguilera, Peter Brzozowski, Moira Gibson, Megan Getske, Melanie Boyajian, Erik Kruse, and Tamara Casteel, for a total of \$4,000;

ii.	The remaining \$1,965,573.92 shall split between the State of California
	and the Aggrieved Employees as prescribed by PAGA as follows:
	\$1,474,180.44, or 75%, will be remitted to the State of California; the
	remaining \$491,393.48, or 25%, will be remitted to the Aggrieved
	Employees. The Settlement Administrator shall allocate these amounts as
	directed in the Settlement Agreement.

- 9. Per the Parties' Settlement Agreement, and in lieu of *cy pres*, any fund from uncashed settlement checks will be remitted to the Unclaimed Property Division of the State of California Controller's Office where they can be recovered by the appropriate Aggrieved Employees pursuant to the rules governing that office.
- 10. Because this is PAGA-only Settlement, the process for approval is straightforward: "The superior court shall review and approve any settlement of any civil action filed pursuant to this part." Labor Code § 2699(1)(2).
- 11. The Court finds that the Settlement is fair, adequate, reasonable, and consistent with the purposes of the PAGA.
- 12. The Court finds that the forms of the Notice Letter attached as Exhibit A to this Judgment is adequate and reasonable, and ORDERS that notice be provided to the Aggrieved Employees in the aforementioned forms.
- 13. The Court is not making any findings as to the merits of Plaintiff's claims and nothing herein is intended or will be construed as an admission of liability or wrongdoing by Defendant.
- 14. Consistent with the foregoing, the Settlement Administrator selected by the Parties is hereby ORDERED to distribute the Settlement Amount as indicated above and in the Settlement Agreement.
- 15. Plaintiff shall submit a copy of this Order to the LWDA within ten (10) days of entry of this Order.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Judgment shall be entered in accordance with this Order and the Settlement Agreement, that this Action is dismissed with prejudice, and that the Court retains jurisdiction, including pursuant to California Code of Civil Procedure section 664.6, over the Parties to enforce the Settlement.

DATED: 3/3/2020

The Honorable Julia Spain
Judge of the Superior Court

# Exhibit A

# NOTICE OF PRIVATE ATTORNEY GENERAL ACT SETTLEMENT AND RELEASE

Alameda County Superior Court Case No
First Name, Last Name Address City, State, Zip
Enclosed please find:
One check in the amount of \$ ("Pro Rata PAGA Penalties Payment")
You are receiving this check as a result of a lawsuit brought by an individual on behalf of the State of California.
Plaintiff Tamara Casteel ("Plaintiff") has filed a lawsuit against Defendant Alaska Airlines, Inc. ("Alaska") for civil penalties pursuant to the California Private Attorneys General Act of 2004 ("PAGA"). This law allows Plaintiff to stand in the shoes of the State of California and recover penalties on its behalf and on behalf of those employees aggrieved by Alaska's alleged violations of the California Labor Code.
Plaintiff claims that Alaska violated the rights of its employees in California by, among other things, informing its employees that it is a violation of company policy to discuss workplace conditions. Alaska denies these claims and denies that it owes any penalties to the government or to you. Nevertheless, to avoid further costs and time in defending the case Alaska has settled the case. By doing so Alaska is not admitting it has done anything wrong.
On, the Superior Court of the State of California approved the terms of the settlement. The settlement includes non-monetary measures in the form of changes to Alaska's employment policies. You will receive separate notifications about changed policies.
A portion of the penalties recovered by this settlement is being paid to the State of California and a portion is being paid to each Covered Employee. A Covered Employee is a person who worked for Alaska and was based in a California office or airport at any time between September 20, 2017 and July 18, 2019. You are a Covered Employee.
The "Pro Rata PAGA Penalties Payment" was calculated on a pro rata basis based on the number of

The "Pro Rata PAGA Penalties Payment" was calculated on a pro rata basis based on the number of weeks you worked for Alaska between September 20, 2017 and July 18, 2019.

This settlement resolves any and all civil penalties and other remedies which could be assessed upon and collected from Alaska by the State of California for a violation of California Labor Code sections 98.6(a), 98.6(b), 232.5(a), 232.5(b), 232.5(c), based upon the facts as alleged in the complaint filed in this lawsuit, a copy of which can be found online at [add URL].

This release does not seek to release any remedies available to employees, including you, for violations of the California Labor Code other than those penalties which could be recovered under PAGA in this lawsuit. You are precluded from filing a PAGA claim for civil penalties for violations alleged in this lawsuit.

Please Note: As a result of the settlement, you are receiving a check. You will not be retaliated against for cashing this check. It is entirely up to you if you cash your check or not. Funds associated with checks that are not cashed or deposited within 180 days of mailing shall be transmitted to and held by the Unclaimed Property Division of the State of California Controller's Office in your name.

Do not call or write the Court or Office of the Clerk to ask questions about the settlement. If you have any questions, you may call or write to either Plaintiff's Counsel or Defendants' Counsel.

#### Plaintiff's Counsel

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### NOTICE OF PRIVATE ATTORNEY GENERAL ACT SETTLEMENT AND RELEASE

Tamara Casteel v Alaska Airlines Inc.

The "Record of Discussion Penalty Payment" relates to a Record of Discussion that was placed into your personnel file in 2018 relating to your social media posting on the subject of fume events. This "ROD" will be purged from your personnel file.

The "Pro Rata PAGA Penalties Payment" was calculated on a pro rata basis based on the number of weeks you worked for Alaska between September 20, 2017 and July 18, 2019.

This settlement resolves any and all civil penalties and other remedies which could be assessed upon and collected from Alaska by the State of California for a violation of California Labor Code sections 98.6(a), 98.6(b), 232.5(a), 232.5(b), 232.5(c), based upon the facts as alleged in the complaint filed in this lawsuit, a copy of which can be found online at [add URL].

This release does not seek to release any remedies available to employees, including you, for violations of the California Labor Code other than those penalties which could be recovered under PAGA in this lawsuit. You are precluded from filing a PAGA claim for civil penalties for violations alleged in this lawsuit.

Please Note: As a result of the settlement, you are receiving two (2) checks. You will not be retaliated against for cashing these checks. It is entirely up to you if you cash your checks or not. Funds associated with checks that are not cashed or deposited within 180 days of mailing shall be transmitted to and held by the Unclaimed Property Division of the State of California Controller's Office in your name.

Do not call or write the Court or Office of the Clerk to ask questions about the settlement. If you have any questions, you may call or write to either Plaintiff's Counsel or Defendants' Counsel.

# Plaintiff's Counsel

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